

EXAMINATION / EMPLOYMENT APPLICATION
 STD. 678 (REV. 10/2013) Page 1

Applications will be processed ONLY for classifications where an examination is in progress and the published final filing date has not passed, or for vacant positions where a department requests an application.

PRINT OR TYPE--PLEASE SEE INSTRUCTIONS ON BACK PAGE

APPLICANT IDENTIFICATION NUMBER (EASY ID)		[REDACTED]	
FIRST 3 LETTERS OF LAST NAME AT BIRTH	MONTH OF BIRTH	DAY OF BIRTH	LAST 4 DIGITS OF SOCIAL SECURITY NUMBER
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
APPLICANT'S NAME (Last)		(First)	(MI)
Asubonten		Charles	A
MAILING ADDRESS (Number) (Street)		E-MAIL ADDRESS	
[REDACTED]		[REDACTED]	
(City)	(County)	(State)	(Zip Code)
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
SOCIAL SECURITY NUMBER			[REDACTED]
WORK TELEPHONE NUMBER			[REDACTED]
HOME/VR/TTY TELEPHONE NUMBER			[REDACTED]

EXAMINATION(S) OR JOB TITLE(S) FOR WHICH YOU ARE APPLYING	PERSONNEL USE ONLY
Chief, Financial Officer, CalPERS, 7PABA	

ANSWER THE FOLLOWING QUESTIONS:

- Enter the county in which you would like to take the examination if different from the county of your residence: [REDACTED]
- Do you need reasonable accommodation to take an interview or written test? [REDACTED]
- Do your religious beliefs prevent you from taking an examination on Saturday? [REDACTED]
- Are you now employed by the State of California? (If "YES", fill in the information below.)
 Department: _____ Subdivision: _____
- Have you ever been fired, dismissed, terminated, or had an employment contract terminated from any position for performance or for disciplinary reasons? (Applicants who have been rejected during a probationary period, or whose dismissals or terminations have been overturned, withdrawn [unilaterally or as part of a settlement agreement] or revoked need not answer "Yes".) Refer to the instructions for further information. If "Yes" to Question #5, give details in the Explanations section.
- In addition to English, list any other languages you:
 - possess verbal fluency in [REDACTED]
 - possess written fluency in [REDACTED]
- I certify I can type at a speed of [REDACTED] words per minute. (For typing applicants only.)

(ANSWER QUESTIONS 8 AND 9 ONLY IF THE EXAMINATION INDICATES THEY ARE REQUIRED.)

- Do you meet the minimum and/or maximum age requirements?
- Do you possess a valid California Driver License? (If "YES", fill in the information below.)
 License # _____ Class: _____ Restrictions: _____

EXPLANATIONS

CERTIFICATION - IMPORTANT - PLEASE READ BEFORE SIGNING - If not signed, this application may be rejected.

I certify under penalty of perjury that the information I have entered on this application is true and complete to the best of my knowledge. I further understand that any false, incomplete, or incorrect statements may result in my disqualification from the examination process or dismissal from employment with the State of California. I authorize the employers and educational institutions identified on this application to release any information they may have concerning my employment or education to the State of California.

[REDACTED SIGNATURE] DATE SIGNED: 07/12/2017

APPLICANTS-DO NOT USE THE SPACE BELOW-FOR PERSONNEL USE ONLY

Classes	01	02	03	04	05	06													
WC for Series/Levels																			
RC/Flag for Series/Levels																			

Flags: _____
 WC: _____

CODES: [REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED]

FOR PERSONNEL USE ONLY	
STATUS	<input type="checkbox"/> Accepted <input type="checkbox"/> REJECTED WC
EXPERIENCE	LICENSE REQUIREMENT
EDUCATION	OTHER
STAFF	DATE PROCESSED

EXAMINATION / EMPLOYMENT APPLICATION

STD. #78 (REV. 10/2013) Page 2

APPLICANT'S NAME (Last) (First) (M.I.)
 Asubonten Charles A

EDUCATION
 DID YOU GRADUATE FROM HIGH SCHOOL? IF NOT, DO YOU POSSESS A GED OR EQUIVALENT? IF NOT, ENTER THE HIGHEST GRADE YOU COMPLETED
 Yes No Yes No

UNIVERSITY OR COLLEGE—NAME AND LOCATION, BUSINESS, CORRESPONDENCE, TRADE OR SERVICE SCHOOL	COURSE OF STUDY	UNITS COMPLETED SEMESTER	UNITS COMPLETED QUARTER	DIPLOMA, DEGREE OR CERTIFICATE OBTAINED	DATE COMPLETED
Ross School of Business, University of Michigan Ann Arbor, Michigan	Business Admin (MBA) – Finance & Strategy	4		MA/MS	04/28/1994
Financial Times Non-Executive Director Diploma London	Advanced Diploma in Board Administration			Other	07/01/2014
North Carolina Central University Durham, North Carolina	Business Administration (BBA) - Accounting	6		BA/BS	05/13/1989

LICENSES – LIST APPLICABLE LICENSES AND CERTIFICATES INDICATED IN THE EXAMINATION BULLETIN.
 (If you are an attorney, please indicate the date you were admitted to the Bar under the Issue Date column, if stated on the examination bulletin.)

LICENSE / CERTIFICATE NUMBER	ISSUE DATE	EXPIRATION DATE	IN THE SPACE BELOW, INDICATE SPECIFIC COURSE REQUIREMENTS NEEDED TO SATISFY REQUIREMENTS FOR THIS EXAMINATION
Certified Public Accountant 1101022814 (State of Michigan)	08/22/1994		AICPA Certificates of Completion re: GASB 68 In-Depth Government Pension Accounting & Auditing Seminars
Chartered Financial Analyst 43384	09/14/2001		

EMPLOYMENT HISTORY - Begin with your most recent job. List each job separately.

FROM (MM/DD/YY)	TO (MM/DD/YY)	TITLE/JOB CLASSIFICATION (Include Range or Level, if applicable)	SUPERVISOR NAME
06/01/2013	Present	CFO/Managing Director	[REDACTED]
HOURS PER WEEK	TOTAL WORKED (months)	COMPANY/STATE AGENCY NAME	SUPERVISOR PHONE NUMBER
50	4	Transmax/RSA Capital	[REDACTED]
SALARY EARNED	PER	ADDRESS	
[REDACTED]	Month	8480 Baltimore National Pike Ste 233, Ellicott City, MD 21043	

DUTIES PERFORMED
 Engaged in investment management advisory, capital formation, deal sourcing, due diligence, deal structuring and execution for private equity clients. Serves as a private equity manager/advisor to clients investing in Africa. Offers value creation services (governance, streamlining financial operations, and process improvements' strategies) to senior management.

REASON FOR LEAVING [REDACTED]

FROM (MM/DD/YY)	TO (MM/DD/YY)	TITLE/JOB CLASSIFICATION (Include Range or Level, if applicable)	SUPERVISOR NAME
05/01/2012	05/31/2013	Chief Financial Officer	[REDACTED]
HOURS PER WEEK	TOTAL WORKED (months)	COMPANY/STATE AGENCY NAME	SUPERVISOR PHONE NUMBER
50	1/1	Mopani Copper Mines, Ptc	[REDACTED]
SALARY EARNED	PER	ADDRESS	
[REDACTED]	Month	1 Central Avenue, Kitwe, Zambia	

DUTIES PERFORMED
 Chief Financial Officer responsible for all financial matters including pension accumulated benefit obligation (ABO), projected benefit obligation (PBO), Vested Benefit Obligations (VBO) calculations and reporting in IFRS for the service costs, interest costs, and associated actuarial gains or losses, as well as OPEB costs. As head of administration, was responsible for the administration of plan assets and benefits' disbursements to plan participants and beneficiaries.

REASON FOR LEAVING [REDACTED]

EXAMINATION / EMPLOYMENT APPLICATION

STD. 678 (REV. 10/2013) Page 3

APPLICANT'S NAME (Last)		(First)	(M I)
Asubonlen		Charles	A
EMPLOYMENT HISTORY (Continued)			
FROM (MM/DD/YY)	TO (MM/DD/YY)	TITLE/JOB CLASSIFICATION (Include Range or Level, if applicable)	SUPERVISOR NAME
07/17/2006	07/30/2010	Chief Financial Officer & Director (member of the Board of the Directors)	
HOURS PER WEEK	TOTAL WORKED (Years/Months)	COMPANY/STATE AGENCY NAME	SUPERVISOR PHONE NUMBER
50	4/0	Rio Tinto/Palabora Mining Company	
SALARY EARNED	PER	ADDRESS	
	Month	1 Copper Rd, Phalaborwa, South Africa	
DUTIES PERFORMED			
<p>Chief Financial Officer and Finance Director responsible for all financial matters including pension accumulated benefit obligation (ABO), projected benefit obligation (PBO), vested benefits obligations (VBO) and OPEB -- Other Post-Employment Benefits calculations and reporting in IFRS, administration of plan assets and benefits' disbursements to retirees and beneficiaries. Served as the Chairman of the Palabora Employees' Pension Fund (leading the employee and employer board) -- responsible for the planning and directing of the financial and investment management of the employee pension fund. Responsible for 60-strong team in the CFO organization globally -- US, Europe and Singapore. As the main plan administrator, working with providers, I led education for plan members regarding plan risks and opportunities inherent in the pension plans, especially the DC plans. Interfaced with the South African Pension authorities regarding Plan matters. Created opportunities for members to annualize their accumulated balances as they neared retirement. Experienced on all facets of the pension cycle; Setting plan actuarial assumptions, setting discount rates, selecting investments and investment managers, monitoring investments, risk management, accounting & reporting, disbursements issues, etc.</p>			
REASON FOR LEAVING			
FROM (MM/DD/YY)	TO (MM/DD/YY)	TITLE/JOB CLASSIFICATION (Include Range or Level, if applicable)	SUPERVISOR NAME
09/01/1998	07/03/2006	Director & Group Controller (Chief Financial Officer of Energy Distribution)	
HOURS PER WEEK	TOTAL WORKED (Years/Months)	COMPANY/STATE AGENCY NAME	SUPERVISOR PHONE NUMBER
50	7/10	DTE Energy	
SALARY EARNED	PER	ADDRESS	
	Month	One Energy Plaza, Detroit, MI 48226	
DUTIES PERFORMED			
<p>Chief Financial Officer responsible for all financial matters (of the Energy Distribution group) including pension accumulated benefit obligation (ABO), projected benefit obligation (PBO), vested benefits obligations (VBO) and OPEB calculations and reporting for the Energy Distribution group. Led the implementation of SFAS 87 for the Energy Distribution group. Led a team of 45 financial professionals in delivering corporate objectives. The financial work included planning and directing accounting in investments, risk management, and pensions. The group I led scored the highest employee satisfaction on the Gallup Survey for 3 years in a row.</p>			
REASON FOR LEAVING			
FROM (MM/DD/YY)	TO (MM/DD/YY)	TITLE/JOB CLASSIFICATION (Include Range or Level, if applicable)	SUPERVISOR NAME
08/01/1994	08/03/1998	Financial Analyst	
HOURS PER WEEK	TOTAL WORKED (Years/Months)	COMPANY/STATE AGENCY NAME	SUPERVISOR PHONE NUMBER
50	4/0	Ford Motor Company	
SALARY EARNED	PER	ADDRESS	
	Month	1 American Rd, Dearborn, MI 4216	
DUTIES PERFORMED			
<p>Performed various financial analysis and strategy jobs. Created several process improvements to save the company money. Known for keen process improvement prowess. Handled substantial global data in formulating analyses for senior management.</p>			
REASON FOR LEAVING			

EXAMINATION / EMPLOYMENT APPLICATION

STD. 878 (REV. 10/2013) Page 4

APPLICANT'S NAME (Last)	(First)	(M.I.)
Asubonten	Charles	A

EMPLOYMENT HISTORY (Continued)			
FROM (MM/DD/YY)	TO (MM/DD/YY)	TITLE/JOB CLASSIFICATION (Include Range or Level, if applicable)	SUPERVISOR NAME
09/02/1991	08/31/1993	Financial Analyst/Systems Analyst	[REDACTED]
HOURS PER WEEK	TOTAL WORKED (Years/Months)	COMPANY/STATE AGENCY NAME	SUPERVISOR PHONE NUMBER
50	1/3	Dow Chemical Company	[REDACTED]
SALARY EARNED	PER	ADDRESS	
[REDACTED]	Month	2030 Dow Center, MI 48874	

DUTIES PERFORMED

Performed accounting and financial analysis projects in the corporate controller's department and the Michigan Division of this Fortune 500 company. Interned in the Treasury Department during MBA studies. Project highlights included:

- Performed a study to determine the interest rate exposure on leases; recommended a robust leasing system using swaps to offset risks embedded in the lease agreements.
- Developed a put option trading model to determine an optimal equity put option strategy; adopted by the CFO.
- Created a financial reporting package to track affiliated companies; reduced workload by 50%.
- Analyzed the cash management charges and recommended banks which offered optimal value to the company.
- Served as the Data Coordinator for the Accounts Payable department; analyzed and designed systems solutions.
- Wrote programs in FOCUS to provide senior management with financial analyses.
- Contributed significantly to cross-functional teams to solve P&L issues.
- Received The Dow Chemical General Manager Award for Process Improvement.

FROM (MM/DD/YY)	TO (MM/DD/YY)	TITLE/JOB CLASSIFICATION (Include Range or Level, if applicable)	SUPERVISOR NAME
08/07/1989	04/28/1991	Auditor/Accountant	[REDACTED]
HOURS PER WEEK	TOTAL WORKED (Years/Months)	COMPANY/STATE AGENCY NAME	SUPERVISOR PHONE NUMBER
50	1/10	KPMG	[REDACTED]
SALARY EARNED	PER	ADDRESS	
[REDACTED]	Month	4242 Six Forks Rd, Suite 850, Raleigh, NC 27609	

DUTIES PERFORMED

Recruited from college to this global public accounting and consulting firm as an assistant accountant. Audited and provided consulting for clients in industries including: financial institutions, insurance, healthcare, local government, manufacturing, hospitality, and high-technology.

- ? Promoted from assistant to staff in a year.
- ? Recognized for detailed work and efficiency improvements.
- ? Clients audited included General Electric Mortgage Insurance Corporation.
- ? Audited pension (and ERISA) plans

FROM (MM/DD/YY)	TO (MM/DD/YY)	TITLE/JOB CLASSIFICATION (Include Range or Level, if applicable)	SUPERVISOR NAME
HOURS PER WEEK	TOTAL WORKED (Years/Months)	COMPANY/STATE AGENCY NAME	SUPERVISOR PHONE NUMBER
SALARY EARNED	PER	ADDRESS	

DUTIES PERFORMED

REASON FOR LEAVING