

# **Commonwealth of Kentucky**

## **CONTRACT**

**DOC ID NUMBER:** 

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Document Description: Legal Investigative Services for Kentucky Retirement System

Cited Authority: FAP111-43-00-L

Personal Services Contracts-Legal

Reason for Modification:

1140 Avenue of the Americas

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Calcaterra Pollack LLP Vendor Contact

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**Effective From:** 2020-11-23 **Effective To:** 2021-09-30

Line Item	Delivery Date	Quantity	Unit	Description	Unit Price	Contract Amount	Total Price
1	2020-11-23 00:00:00.0000	0.00000		Legal Investigative Services for KY Retirement Systems	\$0.000000	\$1,200,000.00	\$1,200,000.00

## **Extended Description:**

Calcaterra Pollack LLP will provide Kentucky Retirement Systems (KRS) with legal investigative services related to investment activities conducted by the Kentucky Retirement Systems to determine if there are any improper or illegal activities on the part of the parties involved. WHD Ticket 26629

<b>Shipping Information:</b>			Billing Information:			
Kentucky Retirement Systems		Kentucky Retirement Systems				
1260 Louisville Road			1260 Louisville Road			
Frankfort	KY	40601	Frankfort	KY	40601	

TOTAL CONTRACT AMOUNT:	\$1,200,000.00

#### PERSONAL SERVICE CONTRACT FOR

Legal Investigative Services

**BETWEEN** 

THE COMMONWEALTH OF KENTUCKY

Kentucky Retirement Systems

**AND** 

Calcaterra Pollack LLC 1140 Avenue of the Americas, 9th Floor New York, NY 10036

This Personal Service Contract (PSC) is entered into, by and between the Commonwealth of Kentucky, Kentucky Retirement Systems ("the Commonwealth") and Calcaterra Pollack LLC ("the Contractor") to establish a contract for Legal Services The initial PSC is effective from November 23<sup>rd</sup>,, 2020 through September 30<sup>th</sup>, 2021.

The Commonwealth and Contractor agree to the following:

## I. Scope of Contract

Calcaterra Pollack LLC shall provide the following services:

Calcaterra Pollack will investigate specific investment activities conducted by the Kentucky Retirement Systems to determine if there are any improper or illegal activities on the part of the parties involved and produce a detailed report documenting their investigation and findings. The Kentucky Retirement Systems may ask the Contractor to produce a summary report that would be suitable to publish to the public without waiving attorney/client privilege.

The report shall document how the Contractor arrived at their conclusion. If improper or illegal activity is uncovered, the Contractor shall also provide a complete analysis of potential legal remedies available to the System, including pros and cons of undertaking legal action, a cost/ benefit analysis of such action, and any possible legal impediments to the legal action, and legal recommendations regarding best practices for investment activities. It is expected that the investigation and report be concluded within four (4) months of the commencement of the investigation.

The Contractor must acknowledge that by conducting this independent investigation and legal recommendations the Contractor would be ineligible to represent the Kentucky Retirement Systems in any litigation that may potentially arise from the investigation.

<b>Legal Investigative Services for Kentucky Retirement</b>
System

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Kentucky Retirement Systems, also known as Systems, operates three (3) IRC Section 401(a) qualified governmental retirement plans under Kentucky Revised Statutes Chapter 16.505 et seq., Chapter 61.510 et seq. and Chapter 78.510 et seq.. The Systems is governed by a board of trustees and appointed executive director. Additional information concerning the Systems, including board policies, may be obtained at the following website: http://kyret.ky.gov.

Pursuant to KRS 61.650 the officers and trustees of the Systems have the obligation to act solely in the interest of the members and beneficiaries of the three (3) qualified governmental retirement plans. Per the terms of the solicitation, the Contractor is advised that this agreement does not guarantee a minimum amount of work.

Pursuant to the passage of House Bill 484, effective April 1, 2021, the Systems will be re-organized into three (3) separate Boards. At that time, any contracts awarded by the Systems shall be absorbed into the newly formed Kentucky Public Pensions Authority (KPPA), which shall provide personnel needs, day-to-day administrative duties, and other duties for the KRS and CERS Boards

Relationship of the Parties. Contractor warrants that all work performed by Contractor under this Contract is and shall be performed as an independent contractor. Contractor shall be responsible for compliance with all laws, rules and regulations by its respective employees, including, but not limited to, employment of labor, hours of labor, health and safety, working conditions, worker's compensation insurance, and payment of wages. This Contract shall not be construed so as to create a partnership or joint venture between Contractor and Kentucky Retirement Systems.

<u>Amendments and Renewal</u>. Written modifications, amendments or additions to this Contract shall be effective only when signed by both parties.

Notices of Material Changes. Contractor shall notify the Board of Trustees in writing within five (5) business days of any material changes in senior officers, significant legal actions instituted against Contractor, or any investigations, examinations, or other proceedings commenced by any governmental agency including but not limited to investigations by any bar association. Notices required in this Section shall be served on Kentucky Retirement Systems by registered or certified mail.

Assignment. This contract may not be assigned by Contractor without the written consent of Kentucky Retirement Systems. Further, the obligations of Contractor under this contract shall be considered personal obligations of Contractor, performable solely by Contractor and Contractor may not delegate its duties hereunder to any entity other than an employee of Contractor without the express written consent of Kentucky Retirement Systems. Kentucky Retirement Systems, upon its determination of need for certain distinctive services related to any legal matter or business operations matter, and by providing written authorization to Contractor, may authorize Contractor to obtain services from legal or non-legal professionals to satisfy the Kentucky Retirement Systems' need for such distinctive services.

<u>Controlling Law; Jurisdiction and Venue; Waiver</u>. All questions as to the execution, validity, interpretation, construction, and performance of this agreement shall be construed in accordance with the laws of the Commonwealth of Kentucky, without regard to conflict of laws principles

thereof. Contractor hereby consents to the jurisdiction of the courts of the Commonwealth of Kentucky and further consents that venue shall lie in the Franklin Circuit Court located in Franklin County, Kentucky. To the extent that in any jurisdiction Contractor may now or hereafter be entitled to claim for itself or its assets immunity from suit, execution, attachment (before or after judgment) or other legal process, Contractor, to the extent it may effectively do so, irrevocably agrees not to claim, and it hereby waives, same.

Access to Confidential Data. The Contractor shall comply with the Kentucky Retirement Systems' Conflict of Interest and Confidentiality Policy. The Contractor's employees, agents and subcontractors may have access to confidential data maintained by the Kentucky Retirement Systems to the extent necessary to carry out its responsibilities under the Contract. The Contractor shall presume that all information received pursuant to this Contract is confidential and subject to the provisions of KRS 61.661 unless otherwise designated by Kentucky Retirement Systems. The Contractor shall provide to the Kentucky Retirement Systems a written description of its policies and procedures to safeguard confidential information. The Contractor shall provide Kentucky Retirement Systems updates or changes to these policies in a timely manner. Policies of confidentiality shall address, as appropriate, information conveyed in verbal, written, and electronic formats. The Contractor shall provide physical and logical protection for all Kentucky Retirement Systems written and electronic data. Electronic data shall be encrypted during transport and at rest utilizing Kentucky Retirement Systems policy standards. The Contractor is responsible for ensuring that they have reviewed all policies and policy updates. The Contractor shall remain the responsible authority in charge of all data collected, used, or disseminated by the Contractor in connection with the performance of the Contract. The Contractor shall provide adequate supervision and training to its agents, employees and subcontractors to ensure compliance with the terms of this Contract. The private or confidential data shall remain the property of the Kentucky Retirement Systems at all times.

In the event there is a conflict between KRS 61.661 and any of the terms and conditions under Section 16.00 of this Contract, KRS 61.661 will control.

No Dissemination of Confidential Data. No confidential data collected, maintained, or used in the course of performance of the Contract shall be disseminated except as authorized by law and with the written consent of the Kentucky Retirement Systems either during the period of the Contract or thereafter. Any data supplied to or created by the Contractor shall be considered the property of the Kentucky Retirement Systems. The Contractor must return any and all data collected, maintained, created or used in the course of the performance of the Contract in whatever form it is maintained promptly at the request of the Kentucky Retirement Systems.

<u>Subpoena</u>. In the event that a subpoena or other legal process is served upon the Contractor for records containing confidential information, the Contractor shall promptly notify the Kentucky Retirement Systems and cooperate with the Kentucky Retirement Systems in any lawful effort to protect the confidential information.

Reporting of Unauthorized Disclosure. The Contractor shall immediately report to the Kentucky Retirement Systems any unauthorized disclosure of confidential information. Kentucky Retirement Systems will manage the disclosure in accordance with our established policies. The Contractor,

at the sole discretion of Kentucky Retirement Systems, shall provide no cost credit monitoring services for Kentucky Retirement Systems' members that are deemed to be part of a potential disclosure. The Contractor shall bear the cost of notification to Kentucky Retirement Systems' members that are involved in a potential disclosure event, including individual letters and/or public notice.

<u>Survives Termination</u>. The Contractor's obligation under this Section regarding the security, confidentiality, and ownership of data as set forth in this section shall survive termination of this Contract.

**Severability.** The provisions of this Contract shall be deemed severable, and the unenforceability of any one or more provisions shall not affect the enforceability of any of the other provisions. In addition, if any provision of this Contract, for any reason, is declared to be unenforceable, the parties shall substitute an enforceable provision that, to the maximum extent possible in accordance with applicable law, preserves the original intentions and economic positions of the parties.

## II. Contract Components and Order of Precedence

The Commonwealth's acceptance of the Contractor's offer in response to the Solicitation, indicated by the issuance of a Contract Award shall create a valid contract between the Parties consisting of the following:

- 1. Procurement Statutes, Regulations and Policies
- Any written Agreement between the Parties.
- 3. Any Addenda to the Solicitation.
- The Solicitation and all attachments
- Any Best and Final Offer.
- Any clarifications concerning the Contractor's proposal in response to the Solicitation.
- 7. The Contractor's proposal in response to the Solicitation.

In the event of any conflict between or among the provisions contained in the contract, the order of precedence shall be as enumerated above.

#### III. Negotiated Items

Vendor has agreed to a 5% discounted hourly rate and a not to exceed cost of \$1,200,000.

## **IV. Pricing**

Contract shall not exceed the firm fixed rate of \$1,200,000. The hourly rates shall be 5% less than the rates submitted on the cost proposal as incorporated by reference to this agreement.

## V. Invoicing

Legal Investigative Services for Kentucky Retirement	
System	

The Contractor should submit invoices upon completion of each deliverable specified in this contract pursuant to the project work plan. Such invoices shall include a detailed itemized list of hours worked and submitted on the required personal service contract invoice form. The vendor shall submit the final invoices for the agreement within 90 days of the expiration date of the contract.

Kentucky Retirement Systems may reimburse the Contractor for travel expenses in accordance with the state regulations established by the Finance and Administration Cabinet and in compliance with Kentucky Revised Statutes and Kentucky Administrative Regulations upon receipt of approved documentation.

In accordance with the Legislative Research Commission, Government Contract Review Policy statement #98-1, Contractor shall not be permitted to bill for the hourly rate while being reimbursed for travel expenses.

#### Personal Service Contract Standard Terms and Conditions Revised January 2020

Whereas, the first party, the state agency, has concluded that either state personnel are not available to perform said function, or it would not be feasible to utilize state personnel to perform said function; and Whereas, the second party, the Contractor, is available and qualified to perform such function; and Whereas, for the abovementioned reasons, the state agency desires to avail itself of the services of the second party;

**NOW THEREFORE**, the following terms and conditions are applicable to this contract:

#### 1.00 Effective Date

This contract is not effective until the Secretary of the Finance and Administration Cabinet or his authorized designee has approved the contract and until the contract has been submitted to the Legislative Research Commission, Government Contract Review Committee ("LRC"). However, in accordance with KRS 45A.700, contracts in aggregate amounts of \$10,000 or less are exempt from review by the committee and need only be filed with the committee within 30 days of their effective date for informational purposes.

KRS 45A.695(7) provides that payments on personal service contracts and memoranda of agreement shall not be authorized for services rendered after government contract review committee disapproval, unless the decision of the committee is overridden by the Secretary of the Finance and Administration Cabinet or agency head, if the agency has been granted delegation authority by the Secretary.

#### 2.00 Renewals:

Upon expiration of the initial term, the contract may be renewed in accordance with the terms and conditions in the original solicitation. Renewal shall be subject to prior approval from the Secretary of the Finance and Administration Cabinet or his authorized designee and the LRC Government Contract Review Committee in accordance with KRS 45A.695 and KRS 45A.705, and contingent upon available funding.

#### 3.00 LRC Policies:

Pursuant to KRS 45A.725, LRC has established policies which govern rates payable for certain professional services. These are located on the LRC webpage <a href="https://apps.legislature.ky.gov/moreinfo/Contracts/homepage.html">https://apps.legislature.ky.gov/moreinfo/Contracts/homepage.html</a> and would impact any contract established under KRS 45A.690 et seq., where applicable.

#### 4.00 Choice of Law and Forum:

This contract shall be governed by and construed in accordance with the laws of the Commonwealth of Kentucky. Any action brought against the Commonwealth on the contract, including but not limited to actions either for breach of contract or for enforcement of the contract, shall be brought in Franklin Circuit Court, Franklin County, Kentucky in accordance with KRS 45A.245.

#### 5.00 EEO Requirements:

The Equal Employment Opportunity Act of 1978 applies to All State government projects with an estimated value exceeding \$500,000. The contractor shall comply with all terms and conditions of the Act.

## 6.00 Cancellation:

The Commonwealth shall have the right to terminate and cancel this contract at any time not to exceed thirty (30) days' written notice served on the Contractor by registered or certified mail.

#### 7.00 Funding Out Provision:

The state agency may terminate this contract if funds are not appropriated to the contracting agency or are not otherwise available for the purpose of making payments without incurring any obligation for payment after the date of termination, regardless of the terms of the contract. The state agency shall provide the Contractor thirty (30) calendar days' written notice of termination of the contract due to lack of available funding.

#### 8.00 Reduction in Contract Worker Hours:

The Kentucky General Assembly may allow for a reduction in contract worker hours in conjunction with a budget balancing measure for some professional and non-professional service contracts. If under such authority the agency is required by Executive Order or otherwise to reduce contract hours, the agreement will be reduced by the amount specified in that document. If the contract funding is reduced, then the scope of work related to the contract may also be reduced commensurate with the reduction in funding. This reduction of the scope shall be agreeable to both parties and shall not be considered a breach of contract.

#### 9.00 Authorized to do Business in Kentucky:

The Contractor affirms that it is properly authorized under the laws of the Commonwealth of Kentucky to conduct business in this state and will remain in good standing to do business in the Commonwealth of Kentucky for the duration of any contract awarded.

The Contractor shall maintain certification of authority to conduct business in the Commonwealth of Kentucky during the term of this contract. Such registration is obtained from the Secretary of State, who will also provide the certification thereof.

## Registration with the Secretary of State by a Foreign Entity:

Pursuant to KRS 45A.480(1)(b), an agency, department, office, or political subdivision of the Commonwealth of Kentucky shall not award a state contract to a person that is a foreign entity required by KRS 14A.9-010 to obtain a certificate of authority to transact business in the Commonwealth ("certificate") from the Secretary of State under KRS 14A.9-030 unless the person produces the certificate within fourteen (14) days of the bid or proposal opening. Therefore, foreign entities should submit a copy of their certificate with their solicitation response. If the foreign entity is not required to obtain a certificate as provided in KRS 14A.9-010, the foreign entity should identify the applicable exception in its solicitation response. Foreign entity is defined within KRS 14A.1-070.

For all foreign entities required to obtain a certificate of authority to transact business in the Commonwealth, if a copy of the certificate is not received by the contracting agency within the time frame identified above, the foreign entity's solicitation response shall be deemed non-responsive or the awarded contract shall be cancelled.

Businesses can register with the Secretary of State at https://onestop.ky.gov/Pages/default.aspx

## 10.00 Invoices for fees:

The Contractor shall maintain supporting documents to substantiate invoices and shall furnish same if required by state government. The invoice must conform to the method described in Section V of this contract.

Pursuant to KRS 45A.695, <u>no payment shall be made on any personal service contract unless</u> the individual, firm, partnership, or corporation awarded the personal service contract submits its invoice for payment on a form established by the committee.

\*Invoice form is available on the Legislative Research Commission, Government Contract Review Committee website: <a href="https://apps.legislature.ky.gov/moreinfo/Contracts/homepage.html">https://apps.legislature.ky.gov/moreinfo/Contracts/homepage.html</a>

#### 11.00 Travel expenses, if authorized:

The Contractor shall be paid for no travel expenses unless and except as specifically authorized by the specifications of this contract or authorized in advance and in writing by the Commonwealth. The Contractor shall maintain supporting documents that substantiate every claim for expenses and shall furnish same if requested by the Commonwealth.

#### 12.00 Other expenses, if authorized herein:

The Contractor shall be reimbursed for no other expenses of any kind, unless and except as specifically authorized within the specifications of this contract or authorized in advance and in writing by the Commonwealth.

If the reimbursement of such expenses is authorized, the reimbursement shall be only on an out-of-pocket basis. Request for payment of same shall be processed upon receipt from the Contractor of valid, itemized statements submitted periodically for payment at the time any fees are due. The Contractor shall maintain supporting documents that substantiate every claim for expenses and shall furnish same if requested by the Commonwealth.

### 13.00 Purchasing and specifications:

The Contractor certifies that he/she will not attempt in any manner to influence any specifications to be restrictive in any way or respect nor will he/she attempt in any way to influence any purchasing of services, commodities or equipment by the Commonwealth of Kentucky. For the purpose of this paragraph and the following paragraph that pertains to conflict-of interest laws and principles, "he/she" is construed to mean "they" if more than one person is involved and if a firm, partnership, corporation, or other organization is involved, then "he/she" is construed to mean any person with an interest therein.

#### 14.00 Conflict-of-interest laws and principles:

The Contractor certifies that he/she is legally entitled to enter into this contract with the Commonwealth of Kentucky, and by holding and performing this contract, he/she will not be violating either any conflict of interest statute (KRS 45A.330-45A.340, 45A.990, 164.390), or KRS 11A.040 of the executive branch code of ethics, relating to the employment of former public servants.

#### 15.00 Campaign finance:

The Contractor certifies that neither he/she nor any member of his/her immediate family having an interest of 10% or more in any business entity involved in the performance of this contract, has contributed more than the amount specified in KRS 121.056(2), to the campaign of the gubernatorial candidate elected at the election last preceding the date of this contract. The Contractor further swears under the penalty of perjury, as provided by KRS 523.020, that neither he/she nor the company which he/she represents, has knowingly violated any provisions of the campaign finance laws of the Commonwealth, and that the award of a contract to him/her or the company which he/she represents will not violate any provisions of the campaign finance laws of the Commonwealth.

#### 16.00 Access to Records:

The state agency certifies that it is in compliance with the provisions of KRS 45A.695, "Access to contractor's books, documents, papers, records, or other evidence directly pertinent to the contract." The Contractor, as defined in KRS 45A.030, agrees that the contracting agency, the Finance and Administration Cabinet, the Auditor of Public Accounts, and the Legislative Research Commission, or their duly authorized representatives, shall have access to any books, documents, papers, records, or other evidence, which are directly pertinent to this agreement for the purpose of financial audit or program review. The Contractor also recognizes that any books, documents, papers, records, or other evidence, received during a financial audit or program review shall be subject to the Kentucky Open Records Act, KRS 61.870 to 61.884. Records and other prequalification information confidentially disclosed as part of the bid process shall not be deemed as directly pertinent to the agreement and shall be exempt from disclosure as provided in KRS 61.878(1)(c).

#### 17.00 Protest:

Pursuant to KRS 45A.285, the Secretary of the Finance and Administration Cabinet, or his designee, shall have authority to determine protests and other controversies of actual or prospective vendors in connection with the solicitation or selection for award of a contract.

Any actual or prospective vendor, who is aggrieved in connection with the solicitation or selection for award of a contract, may file protest with the Secretary of the Finance and Administration Cabinet. A protest or notice of other controversy must be filed promptly and, in any event, within two (2) calendar weeks after

such aggrieved person knows or should have known of the facts giving rise thereto. All protests or notices of other controversies must be in writing and shall be addressed to:

## Holly M. Johnson, Secretary

Commonwealth of Kentucky
Finance and Administration Cabinet
Room 383, New Capitol Annex
702 Capitol Avenue
Frankfort, KY 40601

The Secretary of Finance and Administration Cabinet shall promptly issue a decision in writing. A copy of that decision shall be mailed or otherwise furnished to the aggrieved party and shall state the reasons for the action taken.

The decision by the Secretary of the Finance and Administration Cabinet shall be final and conclusive.

#### 18.00 Social security: (check one)

T	he parties a	are cognizant t	hat the state	is not liable f	or social	security	contributions,	pursuant to 42
		418, relative to						•

\_\_\_\_\_ The parties are cognizant that the state is liable for social security contributions, pursuant to 42 U.S. Code, section 418, relative to the compensation of the second party for this contract.

#### 19.00 Violation of tax and employment laws:

KRS 45A.485 requires the Contractor and all subcontractors performing work under the contract to reveal to the Commonwealth, prior to the award of a contract, any final determination of a violation by the Contractor within the previous five (5) year period of the provisions of KRS chapters 136, 139, 141, 337, 338, 341, and 342. These statutes relate to corporate and utility tax, sales and use tax, income tax, wages and hours laws, occupational safety and health laws, unemployment insurance laws, and workers compensation insurance laws, respectively

To comply with the provisions of KRS 45A.485, the Contractor and all subcontractors performing work under the contract shall report any such final determination(s) of violation(s) to the Commonwealth by providing the following information regarding the final determination(s): the KRS violated, the date of the final determination, and the state agency which issued the final determination.

KRS 45A.485 also provides that, for the duration of any contract, the Contractor and all subcontractors performing work under the contract shall be in continuous compliance with the provisions of those statutes, which apply to their operations, and that their failure to reveal a final determination, as described above, or failure to comply with the above statutes for the duration of the contract, shall be grounds for the Commonwealth's cancellation of the contract and their disqualification from eligibility for future state contracts for a period of two (2) years.

## Contractor must check one:

The Contractor has not violated any of the provisions of the above statutes within the previous five (5) year period.

The Contractor has violated the provisions of one or more of the above statutes within the previous five (5) year period and has revealed such final determination(s) of violation(s). Attached is a list of such determination(s), which includes the KRS violated, the date of the final determination, and the state agency which issued the final determination.

#### 20.00 Discrimination:

This section applies only to contracts disbursing federal funds, in whole or part, when the terms for receiving those funds mandate its inclusion. Discrimination (because of race, religion, color, national origin, sex, sexual orientation, gender identity, age, or disability) is prohibited. During the performance of this contract, the Contractor agrees as follows:

The Contractor will not discriminate against any employee or applicant for employment because of race, religion, color, national origin, sex, sexual orientation, gender identity or age. The Contractor further agrees to comply with the provisions of the Americans with Disabilities Act (ADA), Public Law 101-336, and applicable federal regulations relating thereto prohibiting discrimination against otherwise qualified disabled individuals under any program or activity. The Contractor agrees to provide, upon request, needed reasonable accommodations. The Contractor will take affirmative action to ensure that applicants are employed and that employees are treated during employment without regard to their race, religion, color, national origin, sex, sexual orientation, gender identity, age or disability. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensations; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this non-discrimination clause.

In all solicitations or advertisements for employees placed by or on behalf of the Contractor, the Contractor will state that all qualified applicants will receive consideration for employment without regard to race, religion, color, national origin, sex, sexual orientation, gender identity, age or disability.

The Contractor will send to each labor union or representative of workers with which he/she has a collective bargaining agreement or other contract or understanding a notice advising the said labor union or workers' representative of the Contractor's commitments under this section and shall post copies of the notice in conspicuous places available to employees and applicants for employment. The Contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance.

The Contractor will comply with all provisions of Executive Order No. 11246 of September 24, 1965, as amended, and of the rules, regulations and relevant orders of the Secretary of Labor.

The Contractor will furnish all information and reports required by Executive Order No. 11246 of September 24, 1965, as amended, and by the rules, regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his/her books, records and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations and orders.

In the event of the Contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations or orders, this contract may be cancelled, terminated or suspended in whole or in part, and the Contractor may be declared ineligible for further government contracts or federally-assisted construction contracts in accordance with procedures authorized in Executive Order No. 11246 of September 24, 1965, as amended, and such other sanctions that may be imposed and remedies invoked as provided in or as otherwise provided by law.

The Contractor will include the provisions of paragraphs (1) through (7) of section 202 of Executive Order 11246 in every subcontract or purchase order unless exempted by rules, regulations or orders of the Secretary of Labor, issued pursuant to section 204 of Executive Order No. 11246 of September 24, 1965, as amended, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event a Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the agency, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

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**Approvals** 

1st Party (KRS):

This contract is subject to the terms and conditions stated herein. By affixing signatures below, the parties verify that they are authorized to enter into this contract and that they accept and consent to be bound by the terms and conditions stated herein. In addition, the parties agree that (i) electronic approvals may serve as electronic signatures, and (ii) this contract may be executed in any number of counterparts, each of which when executed and delivered shall constitute a duplicate original, but all counterparts together shall constitute a single contract.

100 1 and (1 and)2		
Signature	Title	
Printed Name	Date	
2nd Party (Vendor)		
Signature	Title	
Printed Name	Date	
Other Party:		
Signature	Title	
Printed Name	Date	
Approved as to form and legality:		
Attorney		